# **Annual Statistical Return – Real Estate**

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| AML/ATF SUPERVISORY STATISTICAL RETURN FOR  REAL ESTATE BROKER (1 of 4) | | | | | | | |
|
| A. GENERAL INFORMATION | | | | | |  | |
|  | 1. Name of real estate broker (individual, firm or legal entity): | | | | |  | |
|  |  | | | | |  | |
|  | 2. Address of main office: | | | | |  | |
|  |  | | | | |  | |
|  | 3. Contact details: | | | | |  | |
|  | Name of contact person: | |  | | |  | |
|  | Phone No: |  | | | |  | |
|  | Email: |  | | | |  | |
|  | Fax: |  | | | |  | |
|  | Website: |  | | | |  | |
|  |  | | | | |  | |
|  | 4. Form of business  (mark x): | |  | | |  | |
|  | Sole Proprietorship [ ]; Partnership [ ]; Corporate [ ];  Other [ ] (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | | |  | |
|  | 5. List the names of the beneficial owners of your real estate firm[[1]](#footnote-1) | |  | | |  | |
|  | Bermudian (%): | |  | | |  | |
|  | Foreign (%) | |  | | |  | |
|  | **AML/ATF SUPERVISORY STATISTICAL RETURN FOR  REAL ESTATE BROKER (2 of 4)** | | | | |  | |
|  | 6. First registered to conduct real activities in (dd,mm,yyyy): | |  | | |  | |
|  | 7. Real estate registration number: | |  | | |  | |
|  | 8. Number of offices/branches (including locations): | |  | | |  | |
|  | Number of subsidiaries (including locations): | |  | | |  | |
|  | 9. Number of employees: | |  | | |  | |
|  | 10. Total revenue (BMD´000):[[2]](#footnote-2) | |  | | |  | |
|  | 11. Total assets[[3]](#footnote-3) | |  | | |  | |
|  | 12. Description of your management and governance structure (add attachment if necessary) | |  | | |  | |
| B. INHERENT RISK FACTORS | | | | | | | |
|  |  | | | | For the last calendar year ending December 31, 2017 | |  |
|  | **1. Client** | | | **Number of  Transactions [[4]](#footnote-4)** | **Amount  (BMD´000)** | |  |
|  | ***a. Type of client – Person or entity that purchases or sells the real estate*** | | |  |  | |  |
|  | i) Individuals | | |  |  | |  |
|  | ii) Corporate bodies (Ltd) (excluding Private Trust Companies) | | |  |  | |  |
|  | iii) Private Trust Companies | | |  |  | |  |
|  | iii) Limited Liability Companies (LLC) | | |  |  | |  |
|  | iv)Partnerships | | |  |  | |  |
|  | v) Trusts | | |  |  | |  |
|  | ***b. Residency of customer*** | | |  |  | |  |
|  | i) Bermuda | | |  |  | |  |
|  | ii) Other countries | | |  |  | |  |
| AML/ATF SUPERVISORY STATISTICAL RETURN FOR  REAL ESTATE BROKER (3 of 4) | | | | | | | |
|  | ***c. Politically Exposed Persons (PEPs) [[5]](#footnote-5)*** | | |  |  | |  |
|  | i) Bermudian | | |  |  | |  |
|  | ii) Foreigner | | |  |  | |  |
|  | d. Intermediary clients (others acting on behalf of beneficiary clients, e.g. lawyers, accountants, other real estate agents, etc.) | | |  |  | |  |
|  |  | | | | | |  |
|  | **2. Products and Services** | | | **Number of  Transactions[[6]](#footnote-6)** | **Total Value of Transactions (BMD´000)** | |  |
|  | a. Purchase of real estate for clients (total) | | |  |  | |  |
|  | b. Sale of real estate for clients (total) | | |  |  | |  |
|  | c. Total purchase and sale of real estate (total) | | |  |  | |  |
|  | d. Sale residential property | | |  |  | |  |
|  | e. Sale of commercial property | | |  |  | |  |
|  | f. Sale of land | | |  |  | |  |
|  | g. Rental and property management[[7]](#footnote-7) | | |  |  | |  |
|  |  | | | | | |  |
|  | **3. Means of Payment** | | | **Number of  Transactions6** | **Amount  (BMD´000)** | |  |
|  | a. Cash (notes and coins) | | |  |  | |  |
|  | b. Cheque | | |  |  | |  |
|  | c. Transfer | | |  |  | |  |
|  | d. Deposits that you received from a client | | |  |  | |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | 4. Geographic Exposure | | Number of  Transaction6 | Amount  (BMD´000) |  |
|  | Purchase or sale transactions where client is a resident or funds originate from a foreign country (list all countries) | | Number of  Transaction | Amount  (BMD´000) |  |
|  | a. | |  |  |  |
|  | b. | |  |  |  |
|  | c. | |  |  |  |
|  | d. | |  |  |  |
|  | **5. Delivery channel** | |  |  |  |
|  | 1. Non-face-to-face transactions | |  |  |  |
|  |  | | | |  |
| AML/ATF SUPERVISORY STATISTICAL RETURN FOR  REAL ESTATE BROKER (4 of 4) | | | | |  |
| C. IMPLEMENTATION OF YOUR AML/ATF OBLIGATIONS | | | | |  |
|  | **1. AML/ATF Obligations** (mark X) | | **YES** | **NO** |  |
|  | 1. Have you appointed a compliance officer? | |  |  |  |
|  | 1. Have you developed AML/ATF policies and procedures? | |  |  |  |
|  | 1. Have you identified and assessed the ML/TF risks related to your activities? | |  |  |  |
|  | 1. Have you implemented customer due diligence measures? | |  |  |  |
|  | 1. Have you organized training for your employees related to AML/ATF risks and obligations? | |  |  |  |
|  | 1. Do you apply enhanced due diligence measures to high risk situations or clients? | |  |  |  |
|  | 1. Do you maintain records related to transactions, business relationships and SARs? | |  |  |  |
|  | 1. Are you registered with the Financial Intelligence Agency to file suspicious activity reports (SAR)? | |  |  |  |
|  | 1. Have you conducted a review of your policies and procedures? | |  |  |  |
|  |  | | | |  |
| D. REAL ESTATE BROKER OBSERVATIONS: | | | | |  |
|  | Please provide any additional information or comments to assist the supervisory authority to better understand the content of this Return. | | | |  |
|  |  | | | |  |
|  |  |
|  |  |
|  |  |
| E. STATEMENT | | | | |  |
|  | I hereby certify that the statements and information contained in this return are true and correct to the best of my knowledge and belief. | | | |  |
|  | Name: |  | | |  |
|  | Title |  | | |  |
|  | Date (mm/dd/yyyy): |  | | |  |

1. Refer Section 3 of the *Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing) Regulations 2008.* [*http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf*](http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf) [↑](#footnote-ref-1)
2. Total revenue figures recorded as at December 31, 2017. [↑](#footnote-ref-2)
3. Total assets figures recorded as at December 31, 2017. [↑](#footnote-ref-3)
4. Number of transaction related to the purchase and sale of real estate. Property management and rentals should not be included. If you are part of a co-brokering arrangement the listing agent is responsible for recording the transaction on this return. [↑](#footnote-ref-4)
5. See definition of Politically Exposed Persons in the Schedule of the Proceeds of Crime (Anti-Money Laundering Anti-Terrorist Financing) Regulations 2008.[*http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf*](http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf) [↑](#footnote-ref-5)
6. Number of transaction related to the purchase and sale of real estate. Property management and rentals should not be included except for questions 2(g). If you are part of a co-brokering arrangement the listing agent is responsible for recording the transaction on this return. [↑](#footnote-ref-6)
7. For the total value of transactions for property and rental management calculate the total annual rental income for all property management and rental transactions. [↑](#footnote-ref-7)