# **Annual Statistical Return – Real Estate**

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| AML/ATF SUPERVISORY STATISTICAL RETURN FOR REAL ESTATE BROKER (1 of 4) |
|
| A. GENERAL INFORMATION |   |
|   | 1. Name of real estate broker (individual, firm or legal entity):  |   |
|   |   |   |
|   | 2. Address of main office: |   |
|   |   |   |
|   | 3. Contact details:  |   |
|   | Name of contact person: |  |   |
|   | Phone No: |  |   |
|   | Email: |   |   |
|   | Fax: |   |   |
|   | Website: |   |   |
|   |   |   |
|   | 4. Form of business (mark x):  |   |   |
|   | Sole Proprietorship [ ]; Partnership [ ]; Corporate [ ]; Other [ ] (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |   |
|   | 5. List the names of the beneficial owners of your real estate firm[[1]](#footnote-1) |     |   |
|   |  Bermudian (%): |     |   |
|   | Foreign (%) |     |   |
|  | **AML/ATF SUPERVISORY STATISTICAL RETURN FOR REAL ESTATE BROKER (2 of 4)** |  |
|   | 6. First registered to conduct real activities in (dd,mm,yyyy): |     |   |
|   | 7. Real estate registration number: |     |   |
|   | 8. Number of offices/branches (including locations): |     |   |
|  | Number of subsidiaries (including locations): |  |  |
|   | 9. Number of employees: |  |   |
|   | 10. Total revenue (BMD´000):[[2]](#footnote-2) |   |   |
|  | 11. Total assets[[3]](#footnote-3)  |  |  |
|  | 12. Description of your management and governance structure (add attachment if necessary) |  |  |
| B. INHERENT RISK FACTORS |
|   |     | For the last calendar year ending December 31, 2017 |  |
|   | **1. Client** | **Number of Transactions [[4]](#footnote-4)** | **Amount (BMD´000)** |  |
|   | ***a. Type of client – Person or entity that purchases or sells the real estate***  |  |  |  |
|   |  i) Individuals |  |  |  |
|   |  ii) Corporate bodies (Ltd) (excluding Private Trust Companies) |  |  |  |
|  | iii) Private Trust Companies |  |  |  |
|  | iii) Limited Liability Companies (LLC) |  |  |  |
|  | iv)Partnerships |  |  |  |
|  | v) Trusts |  |  |  |
|   |  ***b. Residency of customer*** |  |  |  |
|   |  i) Bermuda  |  |  |  |
|   |  ii) Other countries  |  |  |  |
| AML/ATF SUPERVISORY STATISTICAL RETURN FOR REAL ESTATE BROKER (3 of 4) |
|   |  ***c. Politically Exposed Persons (PEPs) [[5]](#footnote-5)*** |  |  |  |
|   |  i) Bermudian |  |  |  |
|   |   ii) Foreigner |  |  |  |
|   |  d. Intermediary clients (others acting on behalf of beneficiary clients, e.g. lawyers, accountants, other real estate agents, etc.) |  |  |  |
|   |   |  |
|   | **2. Products and Services** | **Number of Transactions[[6]](#footnote-6)** | **Total Value of Transactions(BMD´000)** |  |
|   | a. Purchase of real estate for clients (total) |  |  |  |
|   | b. Sale of real estate for clients (total) |  |  |  |
|  | c. Total purchase and sale of real estate (total)  |  |  |  |
|  | d. Sale residential property  |  |  |  |
|  | e. Sale of commercial property |  |  |  |
|  | f. Sale of land  |  |  |  |
|   | g. Rental and property management[[7]](#footnote-7) |  |  |  |
|   |   |  |
|   | **3. Means of Payment** | **Number of Transactions6** | **Amount (BMD´000)** |  |
|   | a. Cash (notes and coins) |  |  |  |
|   | b. Cheque |  |  |  |
|  | c. Transfer  |  |  |  |
|  | d. Deposits that you received from a client |  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|   | 4. Geographic Exposure  | Number of Transaction6 | Amount (BMD´000) |   |
|   | Purchase or sale transactions where client is a resident or funds originate from a foreign country (list all countries) | Number of Transaction | Amount (BMD´000) |   |
|   | a.  |  |  |   |
|   | b.  |  |  |   |
|   | c.  |  |  |   |
|  | d. |  |  |   |
|  | **5. Delivery channel**  |  |  |  |
|  | 1. Non-face-to-face transactions
 |  |  |  |
|  |  |  |
| AML/ATF SUPERVISORY STATISTICAL RETURN FOR REAL ESTATE BROKER (4 of 4) |  |
| C. IMPLEMENTATION OF YOUR AML/ATF OBLIGATIONS |  |
|  | **1. AML/ATF Obligations** (mark X) | **YES** | **NO** |  |
|  | 1. Have you appointed a compliance officer?
 |  |  |  |
|  | 1. Have you developed AML/ATF policies and procedures?
 |  |  |  |
|  | 1. Have you identified and assessed the ML/TF risks related to your activities?
 |  |  |  |
|  | 1. Have you implemented customer due diligence measures?
 |  |  |  |
|  | 1. Have you organized training for your employees related to AML/ATF risks and obligations?
 |  |  |  |
|  | 1. Do you apply enhanced due diligence measures to high risk situations or clients?
 |  |  |  |
|  | 1. Do you maintain records related to transactions, business relationships and SARs?
 |  |  |  |
|  | 1. Are you registered with the Financial Intelligence Agency to file suspicious activity reports (SAR)?
 |  |  |  |
|  | 1. Have you conducted a review of your policies and procedures?
 |  |  |  |
|  |  |  |
| D. REAL ESTATE BROKER OBSERVATIONS:   |   |
|  |  Please provide any additional information or comments to assist the supervisory authority to better understand the content of this Return. |   |
|   |   |   |
|   |   |
|   |   |
|   |   |
| E. STATEMENT  |   |
|   | I hereby certify that the statements and information contained in this return are true and correct to the best of my knowledge and belief. |   |
|   | Name:  |   |   |
|  | Title |  |  |
|   | Date (mm/dd/yyyy): |  |   |

1. Refer Section 3 of the *Proceeds of Crime (Anti-Money Laundering and Anti-Terrorist Financing) Regulations 2008.* [*http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf*](http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20%28Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing%29%20Regulations%202008.pdf) [↑](#footnote-ref-1)
2. Total revenue figures recorded as at December 31, 2017. [↑](#footnote-ref-2)
3. Total assets figures recorded as at December 31, 2017. [↑](#footnote-ref-3)
4. Number of transaction related to the purchase and sale of real estate. Property management and rentals should not be included. If you are part of a co-brokering arrangement the listing agent is responsible for recording the transaction on this return. [↑](#footnote-ref-4)
5. See definition of Politically Exposed Persons in the Schedule of the Proceeds of Crime (Anti-Money Laundering Anti-Terrorist Financing) Regulations 2008.[*http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20(Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing)%20Regulations%202008.pdf*](http://www.bermudalaws.bm/Laws/Consolidated%20Laws/Proceeds%20of%20Crime%20%28Anti-Money%20Laundering%20and%20Anti-Terrorist%20Financing%29%20Regulations%202008.pdf) [↑](#footnote-ref-5)
6. Number of transaction related to the purchase and sale of real estate. Property management and rentals should not be included except for questions 2(g). If you are part of a co-brokering arrangement the listing agent is responsible for recording the transaction on this return. [↑](#footnote-ref-6)
7. For the total value of transactions for property and rental management calculate the total annual rental income for all property management and rental transactions. [↑](#footnote-ref-7)